

**UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK**

-----X  
:  
**In re** : **Chapter 11 Case No.**  
:  
**LEHMAN BROTHERS HOLDINGS INC., et al.,** : **08-13555 (JMP)**  
:  
**Debtors.** : **(Jointly Administered)**  
:  
-----X **Ref. Docket Nos. 42385-42388**

**AFFIDAVIT OF SERVICE**

STATE OF NEW YORK     )  
                                  ) ss.:  
COUNTY OF NEW YORK    )

ELENI MANNERS, being duly sworn, deposes and says:

1. I am employed as Noticing Manager by Epiq Bankruptcy Solutions, LLC, located at 757 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. I caused to be served the:
  - a. "Neuberger Berman Claimants' Opening Memorandum of Law," dated January 28, 2014 [Docket No. 42385],
  - b. "Declaration of Stephanie Stiefel," dated January 27, 2014 [Docket No. 42386],
  - c. "Declaration of Judith Ann Kenney," dated January 27, 2014 [Docket No. 42387],
  - d. "Declaration of Henry Ramallo," dated January 27, 2014 [Docket No. 42388],
  - e. *Email from Kaplan Landau LLP regarding Neuberger Berman Claimants' Opening Papers*, dated January 28, 2014, annexed hereto as Exhibit A, and
  - f. *Letter from Eugene Neal Kaplan from Kaplan Landau LLP to the Honorable Shelley C. Chapman*, dated January 28, 2014, annexed hereto as Exhibit B,

by causing true and correct copies to be:

- i. delivered via electronic mail to those parties listed on the annexed Exhibit C, on January 28, 2014,
  - ii. enclosed securely in separate postage pre-paid envelopes and delivered via overnight mail to those parties listed on the annexed Exhibit D, on January 28, 2014, and
  - iii. enclosed securely in a separate postage pre-paid envelope and delivered via overnight mail to the party listed on the annexed Exhibit E, on January 29, 2014.
3. All envelopes utilized in the service of the foregoing contained the following legend:  
“LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO THE ATTENTION OF ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT.”

Sworn to before me this  
29<sup>th</sup> day of January, 2014

/s/ Cassandra Murray

Notary Public, State of New York  
No. 01MU6220179  
Qualified in Queens County  
Commission Expires April 12, 2014

/s/ Eleni Manners

Eleni Manners

## **EXHIBIT A**

**From:** Eugene Neal Kaplan <ENKaplan@kaplanlandau.com>  
**Sent:** Tuesday, January 28, 2014 7:29 PM  
**To:** Miller, Ralph; Alvarez, Denise; Brady, Teresa; Kenter, Doron; Richard Schager; Lisa Solomon; James Boyajian; Robert Michaelson; Andrew Goldenberg; Howard Magaliff  
**Cc:** Michael Schlesinger; Michelle Pomerantz  
**Subject:** Neuberger Berman Claimants' Opening Papers  
**Attachments:** Kenny Dec and Ex.pdf; Stiefel Dec and Ex1.pdf; Stiefel Dec and Ex2.pdf; Ramallo Declaration and Exhibit.pdf; Opening Mem. of Law.pdf; Chapman Letter 1-28.pdf

**Follow Up Flag:** Follow up  
**Flag Status:** Flagged

All – Attached are the Neuberger Berman Claimants' Opening Papers consisting of the Neuberger Berman Claimants' Opening Memorandum of Law and the Declarations of Stephanie Stiefel, Judith Ann Kenney and Henry Ramallo and their respective exhibits. Also attached is our letter transmitting Chambers' copies of same to Judge Chapman. Service is made herewith in accordance with the Procedures Stipulation and Order, ECF No. 42176.

## EUGENE NEAL KAPLAN

## KAPLAN LANDAU LLP

1065 Avenue of the Americas  
New York, NY 10018  
Phone: Main: (212) 593-1700 Ext. 111

Direct: (212) 201-5330

Fax: (212) 201-5331  
Mobile: (516) 359-4111

Email: [enkaplan@kaplanlandau.com](mailto:enkaplan@kaplanlandau.com)

This email and/or its attachments contain information from the law offices of Kaplan Landau LLP that is or may be confidential and/or legally privileged from disclosure. The information is intended only for use by the individuals and/or entities to which it is properly addressed. If you are not the intended recipient of this email, you are notified that your receipt and any disclosure, copying, printing, saving, use or distribution of, or the taking of any action in reliance on, this email and/or its contents and/or attachments is strictly prohibited. You are instructed to immediately delete this email and remove its contents and/or attachments from your system without printing, copying, saving, distributing or disclosing same.

## **EXHIBIT B**

**KAPLAN LANDAU LLP**  
ATTORNEYS AT LAW  
1065 AVENUE OF THE AMERICAS  
NEW YORK, NEW YORK 10018-0161  
(212) 593-1700  
FAX: (212) 593-1707  
WWW.KAPLANLANDAU.COM

MARK S. LANDAU  
EUGENE NEAL KAPLAN  
PATRICK TRAIN-GUTIÉRREZ

PAUL C. EVANS  
VALERIE A. PHILLIPS  
MARY CECILIA SWEENEY

ALFRED M. MARKS  
LOUIS I. KAPLAN  
(1914-2007)  
COUNSEL

January 28, 2014

**VIA FEDEX**

Honorable Shelley C. Chapman  
United States Bankruptcy Judge  
United States Bankruptcy Court  
Southern District of New York  
One Bowling Green, Courtroom 621  
New York, New York 10004

Re: In re Lehman Brothers Holdings, Inc., Chapter 11 Case No. 08-13555

Dear Judge Chapman:

Enclosed please find Chambers' copies of the opening papers submitted on behalf of the Neuberger Berman Claimants in connection the April 1-3, 2014 hearing ("Hearing") scheduled on Lehman's motions to reclassify the Neuberger Berman Claimants' compensation claims as equity interests. Such opening papers consist of: the Neuberger Berman Claimants' Opening Memorandum of Law and the Declarations of Henry Ramallo, Judith Ann Kenney and Stephanie Stiefel, and their respective exhibits. All such papers were electronically served by e-mail in accordance with the January 24, 2014 Stipulation and Order Establishing Procedures for the Hearing (ECF No. 42176).

Respectfully yours,



Eugene Neal Kaplan

Encls.

cc: All E-Served Parties

## **EXHIBIT C**

Creditor Name Per POC	Attorney	Email for Service
ABRAMS, STANLEY		stan.abrams@ubs.com
ALI, ASGAR		asgaraali@gmail.com
ALLARD, ANDREW		angallard@aol.com
ANDERSON, IAN W.		ian.anderson@btinternet.com
ANDRIOLA, ROCCO F.		knash@gwfglaw.com
BARDEHLE, CHRISTIAN		c.bardehle@me.com; c.bardehle@web.de
BAZYLEVSKY, BO		bobaz@mac.com
BECKER, JENNIFER		jennifer.becker@barclayscapital.com
BIRD, RICHARD		4thbird@googlemail.com
BOGERT, LISA R		lrbogey@earthlink.net; lbogert@nb.com
BOWYER, MICHAEL L.		ml.bowyer@gmail.com
BRANCATO, JENNIFER		ambowyer@hotmail.com
BUTLER-MCLAUGHLIN, CECILIA E.		jennifer.brancato@gmail.com
CALLIES, GUILLEMETTE		cebmac1@msn.com
		guillemettecallies@orange.fr
CARANGO, ANTHONY T.		acarango@mba2003.hbs.edu; acarango@gmail.com
CASSANOVA, CATRINA		acarango@mba2003.hbs.edu
		catrina.cassanova@nb.com
CASUPLE, VIRGILIO		vers771@gmail.com
CATALAO MAIA, ALEXANDRE		vacasuple@yahoo.com
CHAN, SHIRLEY		alex.maia@yahoo.com
CHEN, KENG-CHONG		shirley.chan@nomura.co
		kchen328@gmail.com
COHEN, GUSTAVO SEBASTIAN		gustavo.cohen@ba053.com
COLTON, DAVID		gusicohen@hotmail.com
CRASTON, EDMUND ANTHONY		dscolton@yahoo.com
DAS, NACHIKETA		ecraston@yahoo.co.uk
DAY, GEMMA		nachidas@mac.com
DESFORGES, MATHIEU		bekhols@aol.com
DICCIANNI, CHARLES		mathieu.desforges@yahoo.com
DICENSO, JOSEPH		cdiccianni@hvc.rr.com
DUFFY, KATHLEEN C.		jdicenso@gmail.com
DYER, ROBERT C.		kcduffy539@nyc.rr.com
		robert_dyer@ml.com
ERTMANN, JAMES		james.ertmann@barclays.com
ESCOBAR, CALIXTO M. JR.		james.ertmann@barclayswealth.com
FALLTRICK, BARRY J		cescobar@barcap.com
FELDERHOF, STEPHEN		barryfalltrick@aol.com
FLYNN, PATRICK		steve.felderhof@hushmail.com
FRASER-JENKINS, INIGO L		patrick.flynn@nb.com
GATTUSO, MARGARET E.		inigo.fraser-jenkins@nomura.com
GOLDBERG, JASON		mgattuso@nb.com
GOLDMAN, SHERYL		jason.goldberg@barclayscapital.com
GROSS, BRIAN M.		goldsultan2@wideopenwest.com
HALL, ROOPALI A.		grossbme@yahoo.com
HARGRAVE, BRIAN		roopali.hall@gmail.com
HASHIDA, AKIKO		bkhargrave@gmail.com
HOAR, NICK JOHN		min616@mac.com
HOM, MICHAEL C.		nick.hoar@nb.com
HUTTON, RANDALL J.		mikehom@yahoo.com
IYER, SUBRAMANIAM		rhutton@libertyview.com
		subramaniam.iyer@nomura.com
JACOBSON, LARS P.		Lars.Jacobson@jpmorgan.com; larsjaco16@gmail.com
JAO, ANDREA T.		Andrea.Jao@gmail.com
JENCKES, GEORGE A., IV		george.jenckes@ustrust.com
JUDD, IAN		i.judd@ntlworld.com
KENNEY, ARTHUR J.		olimpiamilano18@gmail.com
KETTLER, R. KYLE		kylekettler@comcast.net
KILGALLON, JOHNINE		kilgallon@nyc.rr.com
		kotiansuraj@yahoo.com
KOTIAN, SURAJ		suraj.kotian@nomura.com
KRIEGER, KAREN M. SIMON		kmskrieger@gmail.com;
		karenmsk@aol.com
		ml10463@gmail.com;
LANGEVIN, MARY		ml10463@gmail.com
		langevinsow@nyc.rr.com



Creditor Name Per POC	Attorney	Email for Service
LANKEN, JONATHAN P		jonathan.lanken@gmail.com
LATIFA, DEBBARH		latifa.debbbarh@btinternet.com
LAWSKY, MICHAEL		mhlawsy@gmail.com
LEGOTTE, LEONARD J.		dblxpresso@msn.com
LENG, MANHUA		manhua_leng@yahoo.com
LEUNG, PAMELA		pam.leung@gmail.com
LEVY, STEWART F.		stewart.levy@barcap.com
LIOTTI, FABIO	Daniel Carragher	djcarragher@daypitney.com
LISTER, JAMES G.		mgrenert@liddlerobinson.com
LOWE, TIA GELAINE		tia.lowe@nb.com
LYNCH, MARY A.		mlynch501@gmail.com
MALCOLM, ANDREW		andrew.malcolmuk@gmail.com
MARNEY, LESLEY N		lesley.marney@btinternet.com
MCCOOEY, KEVIN C.		kevin.mccooey@barclayswealth.com
MENZIES, FIONA M.		fmenzies@talktalk.net
MISCHLER, VINCENT		vincent.mischler@yahoo.com
MUINOS, BARBARA		3bbbs@optonline.net
MURPHY, PATRICIA		pakm@optonline.net
NEDEV, BORIS		boris.nedev@gmail.com
NETZEL, GAVIN R		gnetzel@hotmail.com
NOBLE, RICHARD GRANT		richard.noble@kpmg.co.uk
NORTH-CLAUSS, BRYAN		bryan_northclaus@hotmail.com
O'MARA, MICHAEL J.		om507@aol.com
PATANKAR, SAMEER		patankarsameer@rediff.com
PENTON, ANDREA M		sameer.patankar@nomura.com
POCHINAPEDDI, VENKATA		andreapenton@btinternet.com
POPE, SAMANTHA J.		pvrk_6@yahoo.com
PULJIC, GORAN		sammalthouse@yahoo.co.uk
RADICK, JONATHAN		goranp@optonline.net
REINER, BRETT S.		aer63@verizon.net
REYCROFT, EMILY M.		breiner@nb.com
RONCAGLIOLO, JACQUELINE S.		emilyreycroft@yahoo.com
SARODE, SUNIL		ironcagl@optonline.net
SAVILLO, JOSEPHINE M.		sunil.sarode1981@gmail.com
SCHUSTER, CHRISTIANE		sunil.sarode@nomura.com
SCHWAB, STEVEN B		jsavillo@verizon.net
SHINDE, SUDARSHAN SUBHASH		chris.schuster@btopenworld.com
SHOTTON, PAUL NIGEL		Steven.Schwab@opco.com
SIEGEL, NORMAN		shindesudarshan@gmail.com
SINGH, ANIL KUMAR		sudarshan.shinde@nomura.com
STEVENS, CHRISTIAN E.		paul.shotton@optonline.net
SURI, TARVINDER SINGH		paul.shotton@ubs.com
TESCHNER, STEFAN		norman.siegel@barclays.com
TUIL, LAURENT		norman.siegel@barclayswealth.com
TURNBULL, NIGINA		anil.singh@btconnect.com
VALECCE, JOHN		mforsthe@goeforlaw.com
WAIRKAR, DINESH VISHWANATH		tarvinder.suri@nomura.com
WALKER, MATTHEW		stefan.teschner@web.de
WEINREICH, RUTH		laurent_tuil@hotmail.com
WHAMOND, CHRISTIAN		niginaturnbull@barcap.com
WIDEMAN, ANDREW		avalecce@aol.com
WILSON, KELLY WEINBERGER		dwairkar@gmail.com
WILSON, ROBERT W.		dinesh.wairkar@nomura.com
WREN, ALEX H		matthew.walker@nomura.com
		ruthiewein@yahoo.com
		chriswhamond@optonline.net
		mforsthe@goeforlaw.com
		kelly.wilson@bnymellon.com
		mr.mrswilson@hotmail.com
		alexwren@hotmail.com

## **EXHIBIT D**

Claim Name	Address Information
BECKER, JENNIFER	611 BUCHANAN BLVD RED BANK NJ 07701
CASSANOVA, CATRINA	605 THIRD AVENUE, 22ND FLOOR NEW YORK NY 10158
CINTRON, JACQUELINE	84-01 MAIN ST APT 406 JAMAICA NY 11435
DELLARUSSO, RICHARD J.	8 SUNSET ROAD DARIEN CT 06820
DUNKIN, ROBEN L	107 CLARK STREET GLEN RIDGE NJ 07028
ESCOBAR, CALIXTO M. JR.	84 SHERIDAN AVE. STATEN ISLAND NY 10305
FLACKMAN, CYNTHIA	105 PINE BROOK ROAD MONTVILLE NJ 07045
FRYER, DANIEL J.	3 RIDGEWAY GARDENS ESSEX WESTCLIFF ON SEA SS08PZ UNITED KINGDOM
HAWES, GREGG	1911 RUXTON ROAD RUXTON MD 21204
HOOPER, HELEN	1722 EAST 51ST STREET BROOKLYN NY 11234
JOHNSON, BRIAN A.	888 TOWER ROAD WINNETKA IL 60093
KELLARD, CRAIG J	8 JESMOND ROAD ESSEX CANVEY ISLAND SS8 0AH UNITED KINGDOM
KILGALLON, JOHNNIE	21 TENNYSON DR NANUET NY 10954-1116
LEE, MAN YANG	306 GOLD ST APT 31C BROOKLYN NY 11201-3051
LEGOTTE, LEONARD J.	370 ALBOURNE AVE. STATEN ISLAND NY 10309
MCLAUGHLIN, MARY DEIRDRE	84 BASEVI WAY GREENWICH LONDON SE8 3JS UNITED KINGDOM
MEARS, RUSSELL	44 HOWARD ROAD UPMINSTER ESSEX, ESSEX RM14 2UF UNITED KINGDOM
MODASIA, DIPAK	801 CASCADES TOWER 4 WESTFERRY ROAD LONDON E14 8JN UNITED KINGDOM
MONTALVO, MIRIAM	1918 KIMBALL STREET BROOKLYN NY 11234
MUINOS, BARBARA	10 GIMBALL RD LTL EGG HBR NJ 08087-3670
NOBLE, RICHARD GRANT	THE FIELD HOUSE, TYRRELLS WOOD LEATHERHEAD SURREY KT22 8QJ UNITED KINGDOM
SARKAR, AMIT K	78 LANSDOWNE RD NOTTINGHILL LONDON W112LS UNITED KINGDOM
SHUM, DANIEL T.	11763 E CHARTER OAK DRIVE SCOTTSDALE AZ 85259
SIBIRSKI, LINDA J.	2165 BRIGHAM STREET APT 6E BROOKLYN NY 11229
STEFFENS, EDWARD M.	77 MOTLEY STREET MALVERNE NY 11565
TOAL, IAN G	20 PAIGNTON CLOSE ESSEX RAYLEIGH SS69PW UNITED KINGDOM
TRAVERSA, ROBERT	85 RIVER STREET SLEEPY HOLLOW NY 10591-2415
TURNBULL, NIGINA	70 W 93RD ST APT 28G NEW YORK NY 10025-0012
WANG, YULAN	95 SPRING ST MILLBURN NJ 07041-1129
WATTEVILLE, JEAN DE	42 ELSWORTHY ROAD LONDON NW3 3DL UNITED KINGDOM
WELCH, COLIN S. A.	8 LOCKWOOD AVE NEW CANAAN CT 06840

<b>Total Creditor count 31</b>
--------------------------------

ALEXANDRE CATALAO MAIA  
205 EAST 85<sup>TH</sup> STREET, APT 3-CD  
APT 514  
NEW YORK, NY 10028

## **EXHIBIT E**

MATHIEU DESFORGES  
2 DICKENS MEWS  
LONDON EC1 M5SZ  
UNITED KINGDOM